

2018 Current Fiscal Year Report: Board of Regents of the National Library of Medicine

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1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2018

3. Committee or Subcommittee

Board of Regents of the National Library of Medicine

3b. GSA Committee No.

167

4. Is this New During Fiscal Year?

No

5. Current Charter

11/30/2016

6. Expected Renewal Date

11/30/2018

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority

Statutory (Congress Created)

12. Specific Establishment Authority

42 U.S.C. 286a

13. Effective Date

11/20/1985

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee

Other Committee

16a. Total Number of Reports

No Reports for this Fiscal Year

17a. Open Meetings and Dates 0 17b. Closed Meetings and Dates 0 17c. Partially Closed Meetings and Dates 3 17d. Total Meetings and Dates 3

Purpose	Start	End
Program Advisory/Second Level Peer Review	02/13/2018	- 02/14/2018
Program Advisory/Second Level Peer Review	05/08/2018	- 05/09/2018
Program Advisory/Second Level Peer Review	09/25/2018	- 09/26/2018

Number of Committee Meetings Listed: 3

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$8,000.00	\$8,000.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$63,739.00	\$64,950.00
18a(4). Personnel Pmts to Non-Member Consultants	\$0.00	\$0.00
18b(1). Travel and Per Diem to Non-Federal Members	\$18,299.00	\$18,515.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$0.00	\$0.00
18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$90,600.00	\$92,412.00

18d. Total	\$180,638.00	\$183,877.00
19. Federal Staff Support Years (FTE)	0.60	0.60

20a. How does the Committee accomplish its purpose?

This Board is composed of both scientific and public members who provide the second level of peer review, advise on policy development, program implementation and evaluation, and identify research needs and opportunities of the National Library of Medicine. In FY-2018, the Board provided second level peer review of 467 grants requesting \$413,088,801

20b. How does the Committee balance its membership?

The Board is composed of ten appointed members with interests and responsibilities paralleling those of the National Library of Medicine. Eight members are selected from among leaders in the various fields of the fundamental sciences, medicine, dentistry, public health, hospital administration, pharmacology, health communications technology, or scientific or medical library work, and two from public affairs. The Board also consists of nine ex officio members: the Surgeons General of the Public Health Service, the Army, the Navy, and the Air Force; the Under Secretary for Health of the Department of Veterans Affairs; the Dean of the Uniformed Services University of the Health Sciences; the Assistant Director for Biological Sciences of the National Science Foundation; the Director of the National Agricultural Library; and the Librarian of Congress.

20c. How frequent and relevant are the Committee Meetings?

The Board met three times this fiscal year. The Board provides advice on a continuing basis on the effectiveness of ongoing intramural and extramural programs, the future development of new initiatives, and how to carry out the designated mission and responsibilities of the National Library of Medicine. In FY 2018, the Board of Regents met on February 13-14, 2018, May 8-9, 2018, and September 25-26, 2018. The Board will meet three times in FY 2019.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The Board of Regents of the National Library of Medicine is composed of both scientific and public members who provide the second level of review for grant applications; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the National Library of Medicine. The quality, scope, and balance of advice from this Board cannot be obtained from NIH staff or from other established sources because the membership of the Board is constituted to meet the specific requirements of the mandated mission of the Board of Regents and the National

Library of Medicine.

20e. Why is it necessary to close and/or partially closed committee meetings?

Sections of the Board of Regents meetings were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property, such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory. Reports: This committee did not produce any reports during this reporting period. Committee Decision Maker and Designated Federal Officer are the same individual based on assigned duties within NLM. The pay plans for two SGEs (Drs. Jill Taylor and Eric Horvitz) are coded as Travel and Per Diem Only because they decline honoraria.

Designated Federal Officer

PATRICIA FLATLEY BRENNAN DIRECTOR, NLM

Committee Members	Start	End	Occupation	Member Designation
ACQUISTI, ALESSANDRO	08/04/2015	08/03/2019	PROFESSOR	Special Government Employee (SGE) Member
BLUMENTHAL, JANE	10/31/2016	08/03/2020	ASSOCIATE UNIVERSITY LIBRARIAN	Special Government Employee (SGE) Member
CANTILINA, THOMAS	10/01/2015	09/30/2020	SPECIAL ASSISTANT TO THE AF/SG	Ex Officio Member
CHEATHAM, WAYMAN	10/01/2015	09/30/2020	DIRECTOR	Ex Officio Member
CLANCY, CAROLYN	10/01/2015	09/30/2020	ACTING UNDERSECRETARY	Ex Officio Member
DESHLER, JAMES	10/01/2016	09/30/2020	DEPUTY DIVISION DIRECTOR	Ex Officio Member
DEZEE, KENT	02/13/2018	09/30/2020	DIRECTOR	Ex Officio Member
FRANCIS, JOSEPH	10/01/2015	09/30/2020	DIRECTOR	Ex Officio Member
HORVITZ, ERIC	10/31/2016	08/03/2020	DIRECTOR AND TECHNICAL FELLOW	Special Government Employee (SGE) Member
JAEN, CARLOS	03/12/2018	08/03/2021	PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
KOSECKI, STAN	10/01/2016	09/30/2020	DEPUTY DIRECTOR	Ex Officio Member
MAHONEY, THOMAS	05/08/2018	09/30/2020	DEPUTY CHIEF MEDICAL INFORMATION OFFICER	Ex Officio Member
MARTIN, SANDRA	08/04/2014	08/03/2018	DIRECTOR	Special Government Employee (SGE) Member
MASYS, DANIEL	10/16/2015	08/03/2019	AFFILIATE PROFESSOR	Special Government Employee (SGE) Member
MAZANEC, MARY	10/01/2016	09/30/2020	DIRECTOR	Ex Officio Member
NELSON, MICHAEL	02/09/2016	02/13/2018	DIRECTOR	Ex Officio Member
OLDS, JAMES	08/04/2015	03/12/2018	ASSISTANT DIRECTOR FOR BIOLOGICAL SCIENCES	Ex Officio Member
PUCKREIN, GARY	10/31/2016	08/03/2020	EXECUTIVE DIRECTOR	Special Government Employee (SGE) Member
SMITH, DALE	10/01/2004	09/30/2020	PROFESSOR	Ex Officio Member

STERNBERG, ESTHER	08/04/2014 08/03/2018 RESEARCH DIRECTOR	Special Government Employee (SGE) Member
TAYLOR, JILL	11/02/2015 08/03/2019 DIRECTOR	Special Government Employee (SGE) Member
THOMAS, RICHARD	10/01/2015 09/30/2020 PRESIDENT	Ex Officio Member
TRENT-ADAMS, SYLVIA	10/01/2016 09/30/2020 DEPUTY SURGEON GENERAL	Ex Officio Member

Number of Committee Members Listed: 23

Narrative Description

The Board of Regents advises the Secretary on matters of policy in regard to NLM and its mission and services. The Board provides expert guidance on current programs and contributing to plans for new activities. It has been highly successful in providing long-range directions for the NLM and developing planning documents. The Board provides second level peer review, making recommendations for support of grants and contracts, advises on policy development, program implementation and evaluation, and identifies research needs of the NLM.

What are the most significant program outcomes associated with this committee?

Checked if Applies

Improvements to health or safety	<input type="checkbox"/>
Trust in government	<input type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input checked="" type="checkbox"/>
Effective grant making	<input checked="" type="checkbox"/>
Improved service delivery	<input type="checkbox"/>
Increased customer satisfaction	<input type="checkbox"/>
Implementation of laws or regulatory requirements	<input type="checkbox"/>
Other	<input type="checkbox"/>

Outcome Comments

N/A

What are the cost savings associated with this committee?

Checked if Applies

None	<input type="checkbox"/>
Unable to Determine	<input checked="" type="checkbox"/>
Under \$100,000	<input type="checkbox"/>
\$100,000 - \$500,000	<input type="checkbox"/>
\$500,001 - \$1,000,000	<input type="checkbox"/>
\$1,000,001 - \$5,000,000	<input type="checkbox"/>

\$5,000,001 - \$10,000,000

Over \$10,000,000

Cost Savings Other

☐
☐
☐

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent disease.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

9,420

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

20%

% of Recommendations Fully Implemented Comments

The percentage of recommendations implemented stated above was developed at the time the Annual Comprehensive Review report was prepared. Additional information is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organization and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

The Director, National Library of Medicine, reports to the Board of Regents at every meeting with feedback on committee recommendations and advice.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input type="checkbox"/>
Reallocated resources	<input checked="" type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

An action of “approved” or “recommended” for grants receiving review by this Board does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH’s funding principles, review of the project budget, assessment of the applicant’s management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated <u>Number</u> of grants reviewed for approval	467
What is the estimated <u>Number</u> of grants recommended for approval	467
What is the estimated <u>Dollar Value</u> of grants recommended for approval	\$413,088,801

Grant Review Comments

NA

How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input checked="" type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input checked="" type="checkbox"/>

Access Comments

Contact the National Library of Medicine Committee Management Office.